



UNITED STATES PATENT AND TRADEMARK OFFICE

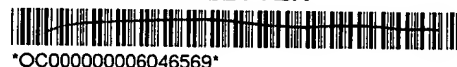
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,416	03/29/2001	Hans Allgeier	4-30652A/C1/30889

001095
THOMAS HOXIE
NOVARTIS CORPORATION
PATENT AND TRADEMARK DEPT
564 MORRIS AVENUE
SUMMIT, NJ 079011027

CONFIRMATION NO. 3689

FORMALITIES LETTER



Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

JS

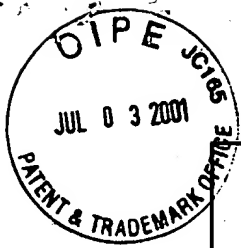
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/02/2001 BSAYASI1 00000219 190134 09821416

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CASE 4-30652A/C1/30889

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EL820011675US
Express Mail Label Number

July 3, 2001
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1646

ALLGEIER ET AL.

APPLICATION NO: 09/821,416

FILED: MARCH 29, 2001

FOR: MGLUR5 ANTAGONISTS FOR THE TREATMENT OF PAIN AND ANXIETY

Attention: Box Missing Parts

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This is in response to the Notice to File Missing Parts of Application dated May 5, 2001, a copy of which is attached. The Notice states that the oath or declaration is unsigned and indicates that an executed oath or declaration together with a \$130 fee must be submitted to avoid abandonment of the subject application.

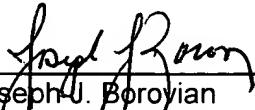
Please make of record the six attached "separate" executed Declarations and Power of Attorney, which Declarations and Power of Attorney refer to this application by Application No. and filing date. Please charge the \$130.00 surcharge fee under 37 CFR §1.16(e) to Deposit Account No. 19-0134 in the name of Novartis Corporation.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation.

A duplicate copy of this letter is provided for charging purposes.

Respectfully submitted,

Novartis Corporation
Patent and Trademark Dept.
564 Morris Avenue
Summit, NJ 07901-1027
(908) 522-6921
Date: July 3, 2001



Joseph U. Borovian
Agent for Applicants
Reg. No. 26,631

Encls.: Copy of Notice to File Missing Parts of Application
Six "separate" executed Declarations
Postcard